


ISSUE FEE TRANSMITTAL

Docket No. C0003/7034

Applicant: Heeks et al.
Serial No: 09/868,262
Filed: January 3, 2002
For: ORGANIC LIGHT-EMITTING DEVICES
Examiner: Karabi Guharay
Art Unit: 2879

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 27, 2005.


Judi Williams

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In response to the Notice of Allowance and Fee(s) Due dated March 28, 2005 for the above-identified application, enclosed are the following documents (indicated by a checked box):

Documents

- ☒ Issue Fee Transmittal
- ☒ Advance order of 4 soft copies of letters patent
- ☒ Comments on Examiner's Statements on Reasons for Allowance
- ☒ Request for Corrected Assignment Recordation with supporting documents

Small Entity

- ☐ Applicant claims small entity status.
- ☐ Small entity status is no longer claimed.

Fees

The following fees are due:

Issue Fee:	\$1,400.00
Publication Fee:	
Fee for Copies:	\$ 12.00
Total Fees:	\$1,412.00

Payment

- ☒ A check in the amount of the total fees is enclosed to cover the issue fee due and advance order of patent copies.
- ☐ The Commissioner is hereby authorized to charge any fees under 37 C.F.R. 1.16-1.19 to Deposit Account No. 02-3038. A duplicate of this sheet is attached.

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16-1.19 that may be required, or credit any overpayment, to Deposit Account No. 02-3038.

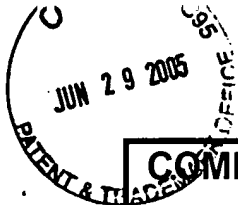
Respectfully submitted,

Date:

June 27, 2005



Therese A. Hendricks, Esq., Reg. No. 30,389
KUDIRKA & JOBSE, LLP
Customer Number 021127
Tel: (617) 367-4600 Fax: (617) 367-4656



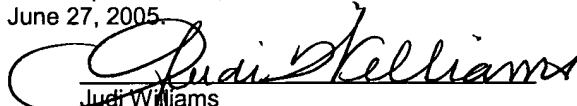
**COMMENTS ON EXAMINER'S STATEMENTS
ON REASONS FOR ALLOWANCE**

Docket No. C0003/7034

Applicant: Heeks et al.
Serial No: 09/868,262
Filed: January 3, 2002
For: ORGANIC LIGHT-EMITTING DEVICES
Examiner: Karabi Guharay
Art Unit: 2879

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

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Judi Williams

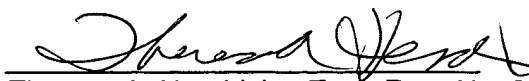
Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

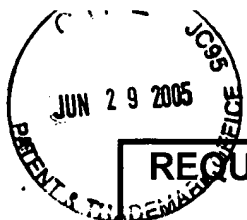
This is in response to the Examiner's Statements on Reasons for Allowance. The Examiner recites in part the language of claim 10 in the Examiner's Reasons for Allowance. Applicant wishes to clarify that the relied upon clause of claim 10 states as follows:

...a SiO layer interposed between an outmost layer of the one or more electrode layers and the first inert barrier layer for absorbing moisture and oxygen, and wherein the first inert barrier layer is a layer of material selected from the group consisting of AlN, Al₂O₃, SiO₂, and Si₃N₄.

Respectfully submitted,

Date: June 27, 2005


Therese A. Hendricks, Esq., Reg. No. 30,389
KUDIRKA & JOBSE, LLP
Customer Number 021127
Tel: (617) 367-4600 Fax: (617) 367-4656



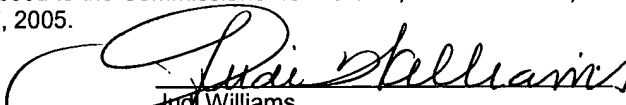
**REQUEST FOR CORRECTED ASSIGNMENT
RECORDATION**

Docket No. C0003/7034

Applicant: Heeks et al. *IPE*
Serial No: 09/868,262
Filed: January 3, 2002
For: ORGANIC LIGHT-EMITTING DEVICES
Examiner: Karabi Guharay
Art Unit: 2879

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 27, 2005.


Judi Williams

Assignment Division
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

The correct name of the assignee is:

Cambridge Display Technology Ltd.

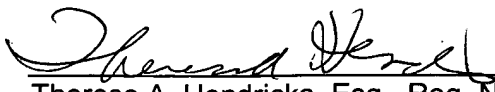
Applicant encloses copies of previously filed documents establishing the correct name (with stamped USPTO postcard receipt):

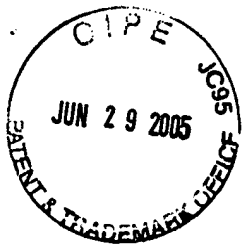
- a) April 19, 2002 Assignment and Cover Sheet for recordal
- b) September 12, 2002 Consent of Assignee.

Applicant requests correction of the USPTO error in the Notice of Recordation (Technologies should be Technology).

Respectfully submitted,

Date: June 27, 2005


Therese A. Hendricks, Esq., Reg. No. 30,389
KUDIRKA & JOBSE, LLP
Customer Number 021127
Tel: (617) 367-4600 Fax: (617) 367-4656



8513.7034

TAH/LMO

PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: Heeks et al.

Application No.: 09/868,262

Group Art Unit: unknown

Filed: June 15, 2001

Examiner: unknown

For: ORGANIC LIGHT-EMITTING DEVICES

-
1. Response to Decision Dated February 19, 2002
 2. Consent of Assignee
 3. Newly Executed Assignment and Assignment Cover Sheet
 4. Copies of all documents filed January 3, 2002
 5. Transmittal Letter
 6. Check in the amount of \$40

Dated April 19, 2002

Docket No.: 08513.7034-00000

TAH/doyle - Mail Drop CAMBRIDGE



(Due Date: 4/19/2002)

OKD 4.19.02 3

FINNEGAN, HENDERSON, FARABOW
GARRETT & DUNNER, L.L.P.

1300 I Street, N.W.
Washington, D.C. 20005-3315

CRESTAR BANK N.A.
WASHINGTON, D.C. 20005

PTO
ACCOUNT

059937

15-52
540

CHECK NO. DATE AMOUNT
59937 04/19/02 *****40.00

PAY FORTY AND 00/100 DOLLARS

TO THE ORDER OF
COMMISSIONER OF PATENTS AND TRADEMARKS

Christopher P. Foley

VOID AFTER 180 DAYS

⑈00059937⑈ ⑆054000522⑆ 206744587⑈

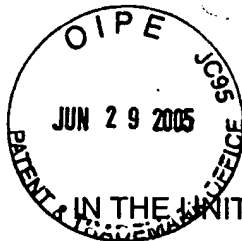
FINNEGAN, HENDERSON, FARABOW
GARRETT & DUNNER, L.L.P.

DETACH AND RETAIN THIS STATEMENT
THE ATTACHED CHECKS IS IN PAYMENT OF ITEMS DESCRIBED BELOW.
IF NOT CORRECT PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED.

059937

VENDOR I.D.#/GL#	INVOICE DATE	INVOICE #	INVOICE AMOUNT
08513 7034-00000	04/19/02	28239	40.00
Chris Doyle			40.00





PATENT
Customer No. 22,852
Attorney Docket No. 08513.7034-00000

In re Application of:)
Heeks et al.) Group Art Unit: unknown
Application No.: 09/868,262) Examiner: unknown
Filed: June 15, 2001)
For: ORGANIC LIGHT-EMITTING)
DEVICES)

Commissioner for Patents
Washington, DC 20231

TRANSMITTAL LETTER

Enclosed are the following documents for filing in the U.S. Patent Office:

- ☒ Response to the Decision On Request Under 37 CFR 1.497(d)
- ☒ Consent of Assignee
- ☒ Newly executed Assignment and Assignment Cover Sheet
- ☒ Copy of all papers filed January 3, 2002

Enclosed is a check in the amount of 40.00 to cover the assignment recordal. Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Dated: April 18, 2002

By: Therese A. Hendricks
Therese A. Hendricks
Reg. No. 30,389

JUN 29 2005

To the Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents or copy thereof.

ATTN. BOX ASSIGNMENTS

1. Name of conveying party(ies):
Stephen Karl Heeks
Jeremy Henley Burroughes
Julian Charles Carter
Peter Devine2. Name and address of receiving party(ies):
Cambridge Display Technology Limited
Greenwich House
Madingley Rise
Madingley Road
Cambridge CB3 0HJ
United KingdomAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of NameExecution Date: March 14, 2002
March 18, 2002
March 25, 2002

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application:

A. Patent Application Number(s):
09/868,262 filed June 15, 2001

B. Patent Number(s):

Additional numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Therese A. Hendricks
FINNEGAN, HENDERSON, FARABOW, GARRETT &
DUNNER, L.L.P.
1300 I Street, N.W.
Washington, D.C. 20005-33156. Total number of applications and registrations involved:
1

7. Total fee (37 CFR 3.41): \$40

- ☒
- Enclosed (Please charge deficiency to deposit account)
-
- ☐
- Authorized to be charged to deposit account

Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Street Address: 1300 I Street, N.W.

City: Washington, D.C.

State: Zip: 20005-3315

8. Deposit Account No.: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Therese A. Hendricks

Signature

Date

Total number of pages including cover sheet, attachments and documents: 5

ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

ORGANIC LIGHT-EMITTING DEVICES

for which I/WE filed an application for United States Letters Patent on June 15, 2001, (Serial No. 09/868,262); and

WHEREAS, Cambridge Display Technology Limited
a corporation of Great Britain

whose post office address is Greenwich House, Madingley Rise, Madingley Road, Cambridge CB3 0HJ,
United Kingdom


(hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;


NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/WE, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof; and I/WE hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee its successors and assigns, any facts known to me/us respecting this invention and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

AND, I/WE HEREBY authorize and request the attorneys I/we have empowered in the Declaration and Power of Attorney in this application, to insert here in parentheses (Application No.[Text], filed [Date]) the filing date and application number of said application when known.

IN TESTIMONY WHEREOF, I/We have hereunto set our hand(s).

1. FULL NAME OF SOLE OR FIRST ASSIGNOR Stephen Karl Heeks	ASSIGNOR'S SIGNATURE	DATE
ADDRESS Cambridge Display Technology Limited Greenwich House Madingley Rise, Madingley Road Cambridge CB3 0HJ United Kingdom		CITIZENSHIP GB
2. FULL NAME OF SECOND ASSIGNOR, IF ANY Jeremy Henley Burroughes	ASSIGNOR'S SIGNATURE 	DATE 18/3/02
ADDRESS Cambridge Display Technology Limited Greenwich House Madingley Rise, Madingley Road Cambridge CB3 0HJ United Kingdom		CITIZENSHIP GB

3. FULL NAME OF THIRD ASSIGNOR, IF ANY Julian Charles Carter	ASSIGNOR'S SIGNATURE 	DATE 25 th March 2002
ADDRESS Cambridge Display Technology Limited Greenwich House Madingley Rise, Madingley Road Cambridge CB3 0HJ United Kingdom		CITIZENSHIP GB
4. FULL NAME OF FOURTH ASSIGNOR, IF ANY Peter Devine	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 34 Coles Road Milton Cambridgeshire CB4 6BW United Kingdom		CITIZENSHIP GB

ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

ORGANIC LIGHT-EMITTING DEVICES

for which I/WE filed an application for United States Letters Patent on June 15, 2001, (Serial No. 09/868,262); and

WHEREAS, Cambridge Display Technology Limited
a corporation of Great Britain

whose post office address is Greenwich House, Madingley Rise, Madingley Road, Cambridge CB3 0HJ,
United Kingdom


(hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/WE, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof; and I/WE hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

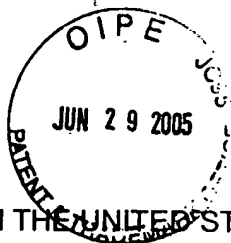
AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee its successors and assigns, any facts known to me/us respecting this invention and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

AND, I/WE HEREBY authorize and request the attorneys I/we have empowered in the Declaration and Power of Attorney in this application, to insert here in parentheses (Application No.[Text], filed [Date]) the filing date and application number of said application when known.

IN TESTIMONY WHEREOF, I/We have hereunto set our hand(s).

1. FULL NAME OF SOLE OR FIRST ASSIGNOR Stephen Karl Heeks	ASSIGNOR'S SIGNATURE 	DATE 14/3/02
ADDRESS Cambridge Display Technology Limited Greenwich House Madingley Rise, Madingley Road Cambridge CB3 0HJ United Kingdom		CITIZENSHIP GB
2. FULL NAME OF SECOND ASSIGNOR, IF ANY Jeremy Henley Burroughes	ASSIGNOR'S SIGNATURE	DATE
ADDRESS Cambridge Display Technology Limited Greenwich House Madingley Rise, Madingley Road Cambridge CB3 0HJ United Kingdom		CITIZENSHIP GB

3. FULL NAME OF THIRD ASSIGNOR, IF ANY Julian Charles Carter	ASSIGNOR'S SIGNATURE	DATE
ADDRESS Cambridge Display Technology Limited Greenwich House Maddingley Rise, Maddingley Road Cambridge CB3 0HJ United Kingdom		CITIZENSHIP GB
4. FULL NAME OF FOURTH ASSIGNOR, IF ANY Peter Devine	ASSIGNOR'S SIGNATURE <i>Peter Devine</i>	DATE <i>14/03/2002</i>
ADDRESS 34 Coles Road Milton Cambridgeshire CB4 6BW United Kingdom		CITIZENSHIP GB



PATENT
Customer No. 22,852
Attorney Docket No. 08513.7034-00000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Heeks et al.) Group Art Unit: unknown
Application No.: 09/868,262) Examiner: unknown
Filed: June 15, 2001)
For: ORGANIC LIGHT-EMITTING)
DEVICES)

Commissioner for Patents
Washington, DC 20231

**RESPONSE TO DECISION ON
REQUEST UNDER 37 CFR 1.497(d)
DATED FEBRUARY 19, 2002**

This is in response to the Decision On Request Under 37 CFR 1.497(d) dated February 19, 2002.

As requested in the Decision, enclosed is a document entitled "CONSENT OF ASSIGNEE" which shows that the assignee, Cambridge Display Technology Limited, consents to the addition of Peter Devine as a named inventor in the above case.

Also enclosed are new Assignment documents from all four inventors because there was a typographical error in the name of applicant in the prior assignment. This new assignment is also submitted for recordal.

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: April 18, 2002

By: *Therese A. Hendricks*
Therese A. Hendricks
Reg. No. 30,389



In Re Application of
HEEKS et al.
Application No. :09/868,262
PCT No.: PCT/GB99/04144
Int. Filing Date: 14 December 1999
Priority Date: 17 December 1998
Attorney Docket No. 08513.7034
FOR: ORGANIC LIGHT-EMITTING DEVICES

CONSENT OF ASSIGNEE

In accordance with 37 CFR 3.73(b), the assignee of the above application,
Cambridge Display Technology Limited, pursuant to the assignment executed
November 12, 2001 and December 14, 2001 by the original three-named inventors,
namely Stephen Karl Heeks, Jeremy Henley Burroughes and Julian Charles Carter,
hereby consents to the addition of Peter Devine as a named inventor in the above
application.

Cambridge Display Technology Limited

Date: 11/3/02

by:

[Signature]
Name

C.T.O.
Title



8513.7034

TAH/LMD

PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: Heeks et al.

Application No.: 09/868,262

Group Art Unit: unknown

Filed: June 15, 2001

Examiner: unknown

For: ORGANIC LIGHT-EMITTING DEVICES

1. Response to Decision On Request Under 37 CFR 1.497(d)
2. Consent of Assignee
3. Request for One-Month Extension of Time
4. Transmittal Letter
5. Check in the amount of \$110.00 to cover extension fee

Dated September 12, 2002

Docket No.: 08513.7034-00000

TAH/doyle - Mail Drop CAMBRIDGE



(Due Date: 9/14/2002)

085 9/12/02



FINNEGAN, HENDERSON, FARABOW
GARRETT & DUNNER, LLP

1300 I Street, N.W.
Washington, D.C. 20005-3315

CRESTAR BANK N.A.
WASHINGTON, D.C. 20006

PTO
ACCOUNT

065277

PAY TO THE ORDER OF
ONE HUNDRED TEN AND 00/100 DOLLARS
COMMISSIONER OF PATENTS AND TRADEMARKS

CHECK NO. 652277
DATE 09/12/02
AMOUNT *****110.00

⑈00065277⑈ ⑈051000522⑈ 206744587⑈

VOID AFTER 180 DAYS

Christopher J. Foley

FINNEGAN, HENDERSON, FARABOW
GARRETT & DUNNER, LLP

DETACH AND RETAIN THIS STATEMENT
IF NOT CORRECT PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED.

VENDOR ID #GL#
08513 7034-00000

INVOICE DATE
09/12/02

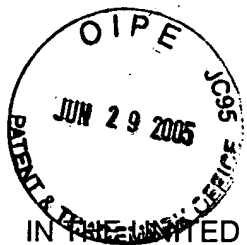
INVOICE #
28426

INVOICE AMOUNT
110.00

065277

Chris Doyle

110.00



PATENT
Customer No. 22,852
Attorney Docket No. 08513.7034-00000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Heeks et al.) Group Art Unit: unknown
Application No.: 09/868,262) Examiner: unknown
Filed: June 15, 2001)
For: ORGANIC LIGHT-EMITTING)
DEVICES)

Commissioner for Patents
Washington, DC 20231

TRANSMITTAL LETTER

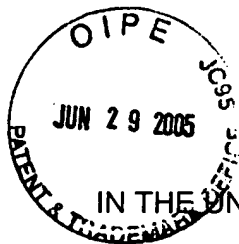
Enclosed are the following documents for filing in the U.S. Patent Office:

- ☒ Response to the Decision On Request Under 37 CFR 1.497(d)
- ☒ Consent of Assignee
- ☒ Request for One-Month Extension of Time

Enclosed is a check in the amount of \$110.00 for the extension fee. Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Dated: September 10, 2002

By: Therese A. Hendricks
Therese A. Hendricks
Reg. No. 30,389



PATENT
Customer No. 22,852
Attorney Docket No. 08513.7034-00000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Heeks et al.) Group Art Unit: unknown
Application No.: 09/868,262) Examiner: unknown
Filed: June 15, 2001)
For: ORGANIC LIGHT-EMITTING)
DEVICES)

Commissioner for Patents
Washington, DC 20231

PETITION FOR EXTENSION OF TIME

Applicants hereby petition for a one month extension of time to reply to the Decision on Request Under 37 CFR 1.497(d) dated June 14, 2002. A fee of \$110.00 is enclosed.

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: September 0, 2002

By: Therese A. Hendricks
Therese A. Hendricks
Reg. No. 30,389



PATENT
Customer No. 22,852
Attorney Docket No. 08513.7034-00000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Heeks et al.) Group Art Unit: unknown
Application No.: 09/868,262) Examiner: unknown
Filed: June 15, 2001)
For: ORGANIC LIGHT-EMITTING)
DEVICES)

Commissioner for Patents
Washington, DC 20231

**RESPONSE TO DECISION ON
REQUEST UNDER 37 CFR 1.497(d)
DATED JUNE 14, 2002**

This is in response to the Decision On Request Under 37 CFR 1.497(d) dated June 14, 2002.

As requested in the Decision, enclosed is a newly executed document entitled "CONSENT OF ASSIGNEE", which is a declaration by Jeremy Henley Burroughes, the Chief Technical Officer of the assignee, Cambridge Display Technology Limited, that he has the authority to execute this consent document (adding inventor Peter Devine) on behalf of the Assignee.

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: September 11 2002

By: Therese A. Hendricks
Therese A. Hendricks
Reg. No. 30,389

